



NOTICE OF MEETING

Haringey Schools Forum

THURSDAY 15 OCTOBER 2020 AT 15:45 HRS FOR 16:00 HRS – VIRTUAL MEETING

AGENDA

1. CHAIR'S WELCOME

2. ELECTION OF CHAIR AND VICE CHAIR

3. APOLOGIES AND SUBSTITUTE MEMBERS

Clerk to report.

4. DECLARATIONS OF INTEREST

Declarations are only required where an individual member of the Forum has a pecuniary interest in an item on the agenda.

5. MINUTES OF THE MEETING OF 25 JUNE 2020 (PAGES 1 - 8)

6. MATTERS ARISING

7. FORUM MEMBERSHIP

To review the membership of the Forum

8. DEDICATED SCHOOLS BUDGET (PAGES 9 - 52)

- Review of financial position 2020-21
- Update on changes overall and to each block for 2021-22
- Approach to consultation on schools local funding formula
- HNB recovery plan

9. COVID-19 CONTINGENCY FUNDING - AMOUNT AND CRITERIA (PAGES 53 - 58)

To consider funding for the next three years

10. PLACE PLANNING UPDATE (PAGES 59 - 64)

11. ALTERNATIVE PROVISION

12.UPDATE FROM WORKING PARTIES

- A. EARLY YEARS**
- B. HIGH NEEDS**
- C. CSSB REVIEW PARTY**

13.WORKPLAN 2020/21

14.ANY OTHER URGENT BUSINESS (PAGES 65 - 66)

15.DATE OF FUTURE MEETINGS:

3 December 2020
14 January 2021
25 February 2021
24 June 2021

**MINUTES OF THE SCHOOLS FORUM MEETING
THURSDAY 25 JUNE 2020 AT 4pm**

School Members		
Headteachers		
Special (1)	*Martin Doyle (Riverside)	
Nursery Schools (1)	Peter Catling (Woodlands Park)	
Primary (7)	Mary Gardiner (West Green)	Michelle Randles
	Stephen McNicholas (St John Vianney)	*Paul Murphy (Lancasterian)
	Emma Murray (Seven Sisters)	Linda Sarr (Risley Avenue)
	Will Wawn (Bounds Green)	
Secondary (2)	Andy Webster (Park View)	Tony Hartney (Gladesmore)
Primary Academy (1)	Sharon Easton (St Pauls & All Hallows)	
Secondary Academies (2)	Vacancy	Michael McKenzie (Alexandra Park)
Alternative Provision (1)	Patricia Davies	
Governors		
Special (1)	*Jean Brown (The Vale)	
Nursery Centres (1)	Melian Mansfield (Pembury)	
Primary (7)	Laura Butterfield (Coldfall)	
	Hannah D'Aguiar (Chestnuts Primary)	John Keever (Seven Sisters)
	Jenny Thomas (Lordship Lane)	*Julie Davies (Tiverton)
	Vacancy	
Secondary (2)	Vacancy	Vacancy
	(A) Sylvia Dobie (Park View)	
Primary Academy (1)	Vacancy	
Secondary Academies (3)	*Noreen Graham (Woodside)	Vacancy
Non-School Members		
Non-Executive Councillor	Cllr Daniel Stone	
Trade Union Representative	Paul Renny	
Professional Association Representative	Sabina Cole	
Faith Schools	*Geraldine Gallagher	
14-19 Partnership	Kurt Hintz	
Early Years Providers	Susan Tudor-Hart	
Observers		
Cabinet Member for CYPs	Cllr Amin	
Also Attending		
LBH Director of Children's Services	Ann Graham	
Chief Executive of Haringey Education Partnership (HEP)	James Page	
LBH Assistant Director, Schools & Learning	Eveleen Riordan	
Interim LBH Head of SEN & Disability	Nathan Jones	
LBH Head of Strategic Commissioning, Early Help & Culture	Ngozi Anuforo	
LBH Assistant Director Commissioning	Charlotte Pomery	
LBH Head of Early Help & Prevention	Martin Clement	
LBH Head of Finance & Business Partners	Brian Smith	
LBH Finance Business Partner (Schools & Learning)	Muhammad Ali	
LBH Service Improvement & Children's Services	Karen Oellermann	
LBH Principal Accountant DSG	Kristian Bugnosen	
Lead for Governor Services (HEP)		
HEP Clerk (Minutes)	Maria Gabrielczyk	

(A) = Apologies given

* = Asterisk denotes absence

ITEM NO.	SUBJECT / DECISION	ACTION ASSIGNED TO
1.	CHAIR'S WELCOME	
1.1	The Chair welcomed everyone present to the meeting. A warm welcome was extended to Cllr Amin, Paul Renny and Sabina Cole – the Unison Reps.	
2.	APOLOGIES AND SUBSTITUTE MEMBERS	
2.1	Apologies were received from: Sylvia Dobie, Paul Sutton and Vanessa Bateman	
3.	DECLARATIONS OF INTEREST	
3.1	None were made.	
4.	MINUTES OF THE MEETING OF 27 FEBRUARY 2020	
4.1	The Minutes were approved as an accurate record of the meeting.	
5.	MATTERS ARISING Correction on attendance – Susan Tudor-Hart attended the last meeting, but was shown as absent. <ul style="list-style-type: none"> • P6. Item 11 HNB – This needs to be clarified to the new lead member. Eveleen will take this away and bring the item back at a later date. • Item 13 Wood Green youth hub development update. Martin Clement reported that monthly meetings have started on the building of a new youth centre in Wood Green. It will replicate the youth centre in Bruce Grove. Pupils will be involved in the development and design of the centre. There will be a focus group made up from pupils in the Youth Justice and Social Care and will also engage parents. The centre will be ready within 2 years. 	
6.	FORUM MEMBERSHIP	
6.1	A paper was sent out with the number of pupils in schools. New members have been selected and the proportional representation remains as it was.	
7.	OUTCOME OF INTERNAL AUDIT PROGRAMME	
7.1	<p>Minesh provided a summary of the audit work completed for the schools cohort for the last financial year.</p> <p>74 schools were audited. The number of recommendations received were 190. Of those 102 were a significant level of risk and a priority, 79 were minor and 3 a low risk.</p> <p>The Appendices showed the audit areas to focus on, outcomes and recommendations. The recommendations remain open risks which schools are exposed to and the department is trying to mitigate.</p> <p>The final part of Minesh's paper outlined what the programme will look like. The programme has not been refreshed and the Covid-19 situation has paused the internal work of schools.</p>	

7.2	<p>The finance department are carrying out audits in a safe way. The schools and LA's records have been received. School visit will start early July and the audits will start by early September and will all be completed by March 2021.</p> <p>Q. There is a flaw in the audit and finance team as the quarterly forecasts are not routinely checked.</p> <p>A. The Haringey system is in control of what gets fed back to schools finance. The audit team try to look at the controls in place. The auditors are unable to check every single element of the prime documents and accept at face value what has been presented as genuine.</p> <p>Q. This is a paper based exercise that does not check the validity of the paperwork and is a real risk.</p> <p>A. This issue is part of the current discussions. There are gaps in the quarterly returns from schools. This year the finance team is looking at audit reports and profiling schools that may be in financial difficulties. Cash flow issues are also being looked at. This issue is not complete and not particularly embedded. They are areas for the finance team for improvement and to pick these up before it becomes a big issue.</p> <p>The Schools Forum noted the feedback on the audit work completed in 2019/20.</p>	
8.	DEDICATED SCHOOLS BUDGET	
8.1	<p>The key points covered were:</p> <ul style="list-style-type: none"> • Informing Schools Forum members on the final DSG position 2019-20 overall and in Early Years Block, Schools Block, High Needs Block and Central School Services Block. • Schools Forum members were asked to note the schools closing balance as at 31st March 2020. • Schools Forum members to note the financial review of DSG for 2019-20 and 2020-21 forecast. • Updating Schools Forum members on the proposed use of the Business Rates refund allocation. • Informing Schools Forum members of the need for a DSG recovery plan. • DSG deficit recovery plan • DSG budget analytical review 2020-21 and 2021-22 <p>Kristian explained the papers and funding available and the total spend. The final results show a cumulative deficit of £8.1m in Haringey schools.</p>	

8.2	<p>The closing DSG reserve balances are a deficit of £10.1m. This has resulted in schools in financial difficulty going further into difficulty.</p> <p>There has been a delay from the ESFA on the SEN review and financial help.</p> <p>The outturn reporting is linked to Item 10 on the Agenda, where £788K is to be used as a Covid-19 fund. The recommendation is to agree and create this fund.</p> <p>Q. In 1.1 it states the school funding is maintained. This cannot be true as in real terms funding has been reduced, even if its cash has increased.</p> <p>A. The recommendation is that the Schools Forum members agree for a Working Group to go ahead and create a pot for schools in financial difficulty due to the pandemic. This would be a Covid-19 fund.</p> <p>Q. Who would be eligible? Would it include AP?</p> <p>A. Details will be clarified are reported at the next Schools Forum meeting. The Group would be consulting on the criteria on how to distribute the fund.</p> <p>A Schools Forum member informed that this would only apply to those settings to get transitional relief. Settings outside of the 5-16 would not be eligible for the rates refund.</p> <p>Schools Forum agreed to the proposal of having a Working Group to create a draft a criteria and procedures for considering funding awards from a contingency Covid-19 fund. ACTION</p>	ALL
9.	UPDATE ON SCHOOLS IN FINANCIAL DIFFICULTY	
9.1	<p>The DfE have advised that if schools have a deficit of more than 1% they can produce a deficit recovery plan. Schools will be required to report deficits to the DfE through statutory accounts and in addition prepare an internal 3 year recovery plan. Further guidance is awaited from the DfE.</p> <p>It was agreed that an update on schools in financial difficulty will be produced. ACTION.</p> <p>The team are working on the programme to help support schools experiencing financial difficulties that include cash flow problems or operational issues. Internal audit have identified that bank reconciliations are not operating on a standard system. Schools cannot create a cash flow forecast as there are no balance sheets. In addition:</p> <ul style="list-style-type: none"> • More than 60% of schools use external advisors. The current arrangements are not fit for purpose. • The business rates refund money will be used for more resources. • The programme has been designed. • The end of year accounts are not balanced. Funding was agreed in the February Schools Forum to use and add capacity. So far the schools 	KG/MA/BS

	<p>finance website has been developed, listing statutory and non-statutory services.</p> <ul style="list-style-type: none"> • Funding levels are currently low with 9 schools contacting the team with cash flow needs. A cash flow advance was given, but the team are unsure how it was used. • Part of the Risk Management was for schools to submit quarterly forecasts. • New systems have been put in place and SBM are being training. • Two posts were funded for schools in financial difficulties. <p>Q. Is there still a vacancy?</p> <p>A. Yes, as no recruitment took place due to Covid19.</p> <p>Q. Is direct support provided to schools?</p> <p>A. Yes, so gaps need to be filled. Blue are the start-up roles and white are the new roles</p> <p>Q. How are the roles split?</p> <p>A. There are 3 in a team and changes have been made to the structure from the funding.</p> <ul style="list-style-type: none"> • The opening reserves balances show a £1.8m in year movement • The in-year deficit has gone from a lot of primary schools. There were some quick fixes for some schools, but others need robust financial plans • Schools in financial difficulties have been identified. Financial benchmarking is being carried out and the procurement contracts are being reviewed. Packages have been developed for schools and Point 6 of the paper covers the scope of work covered. Money is being used to up-skill the SBM • The approval has been given to recruit for the Assistant Accountant role and it is now live on the council website • Additionally, interim cover has been provided in the short term for the accountant role <p>A. There is an embargo on recruitment during Covid-19 for the Assistant Accountant post and the interim post.</p> <p>Q. Rather than centralising invoice payments, have you look at finance software that reduces paper processing from PO to BACs?</p> <p>A. We have been promoting software that supports this. Some of the Business Managers we have encountered we have introduced them to the Xero system as a starting point</p> <p>Q. Is there an upsurge in schools in financial difficulties asking for advances and deficit budgets?</p> <p>A. Yes, there have been 9 applications, but we will have a better view in the Autumn.</p> <p>A member informed that the schools in financial difficulty post £120K was funded solely from the de-delegation fund and come from maintained schools.</p>	
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	<p>The team responded that the money used for backfilling come from maintained primary and secondary schools.</p> <p>A member brought up the income from SLA from schools in Table 8 and that the figures do not add up. It was agreed this would not be discussed as it referred to two people's salaries.</p> <p>A member raised the concern that there were less applications for secondary schools in Haringey this year compared to last year. It was agreed to report back to the Schools Forum in the October meeting. ACTION</p> <p>ER is looking at this issue, as the Reception level has also come down. The July projection figures are from the GLA. ER offered to bring back a paper on place planning for the October meeting. ACTION</p> <p>A member stated that more clarification is needed on pt3.4 and para 8. He informed that there needs to be some sort of a consulting group and place planning federation options needs to be looked at. This issue needs to be at a high strategic level with unions and academies involved.</p> <p>ER informed that high level work is being done with difficult decisions needing to be made. ER is happy to revisit this issue. ACTION</p> <p>Schools Forum members noted the contents of the paper.</p>	<p>ER</p> <p>ER</p> <p>ER</p>
10.	BUSINESS RATES REBATE	
10.1	This item was covered under Item 8.	
11.	KS2 BULGE PROTECTION FOR TIVERTON PRIMARY SCHOOL	
11.1	<p>Carlo Kodsí informed that a lot of work had been done on school place planning and all NLC groups were visited. Stamford Hill Primary School will be closing on 31st August 2020 and all displaced pupils will be moving to Tiverton Primary School. Reception and Yr1 will be hosting an extra class. The Headteacher and Governors of Tiverton Primary School have stated that the demand for school places will not pick up. There is high mobility in the area and a risk that places will remain vacant. Schools Forum members are asked for a special consideration for bulge protection for Tiverton Primary School when the school stops being subsidised as a consequence of the amalgamation. Tiverton Primary School should not be financially disadvantaged.</p> <p>The Recommendation is that the Schools Forum members are asked to agree to bulge protection funding for Tiverton Primary School at KS2 in the event that the total numbers on roll in the affected bulge classes fall below 24. This funding would be available from the Growth Fund element of the DSG at the</p>	

	<p>point when the school ceases to be subsidised as a consequence of amalgamation (from September 2022 and beyond).</p> <p>Q. If it is recommended then what is the view on the declining casual admissions?</p> <p>A. Carlo Kodsi will discuss this with the school</p>	
11.2	Schools Forum members agreed to the recommendation of bulge protection funding for Tiverton Primary School at KS2 in the event that the total numbers on roll in the affected bulge classes fall below 24.	
12.	UPDATE FROM WORKING PARTIES	
12.1	<p>Early Years Block working group and High Needs Block working group</p> <p>Ngozi has shared a paper with the Early Years Block Working Group. It details the impact of closures as a consequence of Covid-19. The paper was circulated to the Schools Forum.</p> <p>The key points were that the DfE is funding schools and providers when they are closed. There is an issue with duplicating funding and a shift in Government policy in September. The funding cannot be sustained.</p> <p>Q. Will the October headcount be delayed?</p> <p>A. There is a webinar with the DfE next week and part of the reporting back will be on numbers as this has been raised with the DfE.</p> <p>Q. There is a conflict with PVI and settings. There must not be a loss of funding for headcounts in October and January.</p> <p>A. This comment was logged and will be raised at the next meeting.</p>	
13.	INFORMATION ITEMS	
13.1	<p>Alternative provision finance report</p> <p>The Alternative Provision Hub, to be based on the former Stamford Hill School site, will meet the educational, social and therapeutic needs of children and young people under the governance of the Haringey Tuition Centre from 1st September 2020.</p> <p>Charlotte informed that a lot of detailed work is being done and there are issues to resolve around underpinning the bubble involved.</p> <p>Laura informed that due to site issues this will not happen. The Music Tuition Service is instead moving to Crawford Road to a suitable building. Arrangements are ongoing with HR and the Unions about TUPE.</p> <p>Charlotte asked for Schools Forum members to form a Working Group.</p>	
13.2	<p>Charlotte will email out information about the Working Group to all Schools Forum members. ACTION</p>	CP

	Schools Forum members noted the content of the paper.	
13.3	Schools Forum members Melian and Will offered to join the Working Group. Other Schools Forum members interested in joining to email Charlotte.	
14.	ANY OTHER URGENT BUSINESS	
16.	DATE OF FUTURE MEETINGS <ul style="list-style-type: none"> • 15 October 2020 • 3 December 2020 • 14 January 2021 • 25 February 2021 • 24 June 2021 	

Meeting closed 5:47pm

SUMMARY OF ACTIONS

ITEM	ACTION	FOLLOW UP
8.2	Set up a Working Group to create a draft a criteria and procedures for considering funding awards from a contingency Covid-19 fund	ALL
9.1	Produce an update on schools in financial difficulty	KG/MA/BS
9.1	Report on the reduction of reception school places	ER
9.1	Report on place planning for the October meeting	ER
9.1	Consider review of place planning federation options at a strategic level with unions and academies involved	ER
13.2	Email information about the Working Group to all Schools Forum members	CP

Agenda Item



Report Status

For information/note ☒
 For consultation & views ☒
 For decision ☒

Report to Haringey Schools Forum –15th October 2020

Report Title: Dedicated Schools Budget Strategy (DSG) 2021-22 / Outturn Projections 2020-21

Authors:

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Purpose:

1. To inform members of the Indicative DSG position 2021-2022 overall and in Early Years Block, Schools Block, High Needs Block and Central School Services Block and latest updates from the DfE.
2. Updates on School Block funding formula for 2021-22
3. To note the DSG 2020-21 forecast.
4. To update members on the DSG recovery plan.

Recommendations:

To note the NFF Schools funding information presented which will inform follow up work from Finance and further Schools Forum decisions to be put forward at the December Schools Forum.

To note the intention of Finance to send an e-survey to inform local funding formula aspects of the Authority Performance Tool (APT)

To consider the merits of reviewing the CSSB block funding plans and decide whether a working group would be helpful.

1 Introduction.

1.1 In July 2017, the Department for Education (DfE) announced the introduction of the national funding formula(NFF) which was supported by additional investment in 2018-19 and 2019-20. The additional funding over the last two years, has enabled the council to maintain per-pupil spending on the schools and high needs blocks.

1.2 This paper sets out a summary of the DSG analysis of the four blocks' (Early Years Block, Schools Block, High Needs Block and Central School Services Block) financial position for the financial year 2020-21 and the strategy for the financial year 2021-22.

1.3 The policy document which sets out the background and principles of the National Funding Formula for schools can be found at:

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/838394/National_funding_formula_policy_document_-_2020_to_2021.pdf

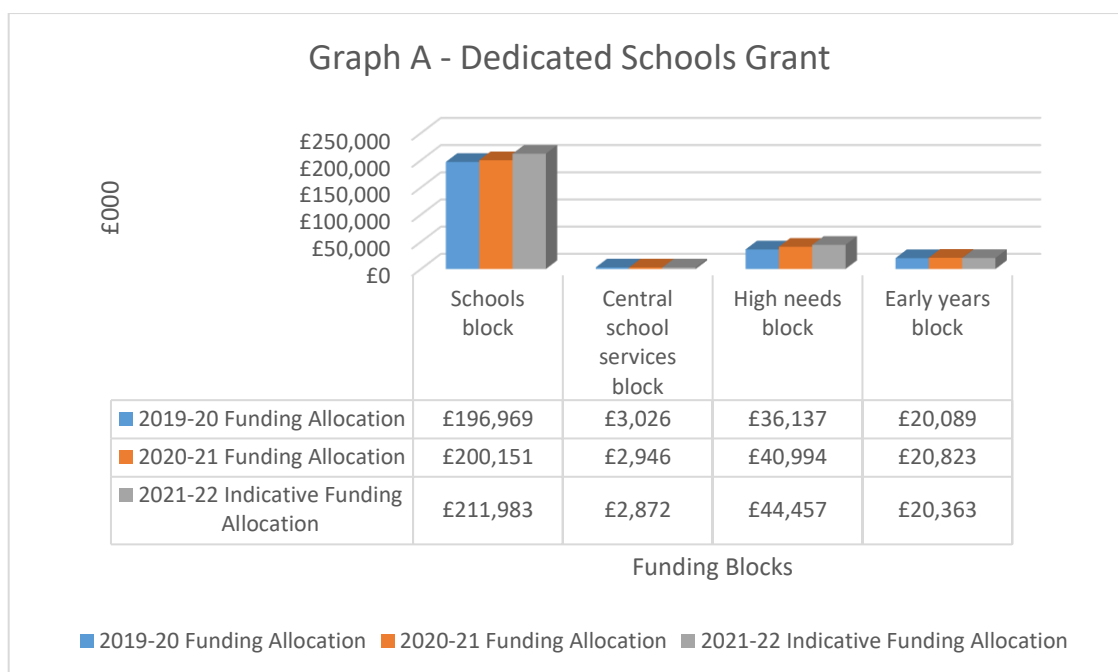
1.4 The DSG is currently divided into four notional blocks:

- Schools,
- High Needs (HNB),
- Central School Services Block (CSSB), and
- Early Years.

1.5 The DfE has confirmed that that there are no changes to local flexibility factors for 2021-22, and so local/soft formula will still apply. Regarding hard funding, the Department expects to have a consultation on this soon. The consultation will include how to transition, the hardening of different factors and arrangements for streams such as CSSB and Growth.

2 DSG 3 years Funding Comparison

2.1 Graph A represent a comparison of 3 years of funding of the overall DSG Block. The period for 2021-22 shows indicative allocations as the Early Years Block is yet to be confirmed. The increase in HNB and Schools block are inclusive of rolling in of Teachers Pension and Teachers pay grant.



Section 3-6 of this report (below) provides the DfE updates on each individual DSG block in September 2020. Section 4 provides the next step in the school block funding formula consultation.

3 Schools NFF in 2021-22

- 3.1 In September 2020, the DfE released the indicative Authority Performance Tool (APT). The overall structure of the formula is the same as 2020-21. Key factors have increased by 3%. With the exception of the following factors; primary, minimum per pupil level funding (MPPL), Free School Meals, Floor – (the protection factor, will ensure at least 2% increase protection of per pupil led funding items in the formula, per pupil, Sparsity Primary, growth and premises. The attached slides are from the DfE and provide further narrative to the changes.
- 3.2 The Indicative APT model will be made available so all Schools prior to November Schools Forum. Schools Finance are currently modelling what the funding distribution will look like and will present also to SBM's at their November training session.
- 3.3 There are some changes to the formula for 2021-22. The main changes are:
- Rolling in of the Teacher's Pay and Pension grant.
 - By adding per pupil amounts onto the age-weighted pupil (AWPU).
 - Adding the same per pupil amounts to the MPPLs.
 - Adding an amount representing the funding schools receive through the grants *and* supplementary fund onto baselines.
 - Sparsity factor – confirmation that there will be an increase to the factor and the values – but the DfE has not confirmed the size or increase or design of factor. As a London Borough, it is not expected that this will affect Haringey Schools adversely.
 - IDACI is now based on 2019 data set and is based on ranks and not scores. Modelling is to be actioned to see what the implication is.

- The DfE is now applying Lower Layer Super Output Areas (LSOAs) for ranking. The illustrations below demonstrate the change:

■ **Banding is now based on ranks not scores**

Previous:

NFF IDACI bands	
IDACI score	Band
Between 0.5 and 1	A
Between 0.4 and 0.5	B
Between 0.35 and 0.4	C
Between 0.3 and 0.35	D
Between 0.25 and 0.3	E
Between 0.2 and 0.25	F

Updated:

Factor	Ranks	Band
Pupils in the most deprived 2.5% of LSOAs	1 to 821	A
Pupils in the next 5% most deprived LSOAs	822 to 2463	B
Pupils in the next 5% most deprived LSOAs	2464 to 4105	C
Pupils in the next 5% most deprived LSOAs	4106 to 5747	D
Pupils in the next 10% most deprived LSOAs	5748 to 9032	E
Pupils in the next 10% most deprived LSOAs	9033 to 12316	F

Illustration taken from the DfE slides 16 Sept 2020.

- 3.4 Schools Finance will model the IDACI changes to analyse any affects to schools, applying last year's school numbers to this year's APT information. The results will be shared with the DSG Fund Working Group and presented to Schools Forum at December School Forum.
- 3.5 An indicative APT model will be made available prior to the November Schools Forum.

4 School Block Funding Formula Consultation 2021-22

- 4.1 An indicative Authority Performance Tool (APT) is now available for modelling School Block formula: consultation with DSG working group will be carried out shortly.
- 4.2 Following a wider consultation in December 2019 with all maintained and academy schools, Schools Forum agreed the following principles for the local funding formula in 2020-2021:
 - (a) Growth Fund to estimate at £932k.
 - (b) The transfer of 0% out of the Schools Block into the High Needs Block
 - (c) The block transfer of £122K for Education Welfare Services to Central Block
- 4.3 This report ask that the Schools Forum agree for the DSG working group to meet between now and November and agree a model for School Block funding formula for 2021-22 for presentation at November School forum 2020.

- 4.4 A Consultation survey will be sent to all schools after the November 2020 School's forum by the School Finance Team and its findings will be presented at December 2020 Schools Forum for final ratification on our local funding formula.
- 4.5 Table A below details the timetable for providing provisional APT to Haringey maintained schools:

Table A: APT Timeline

Date	Action
September	DfE presentations and updates to LA's re: 2021-22 National Funding Formula.
Mid-September	Authority Planning Tool (APT Received)
15/10/2020	October Schools Forum - Sharing of DfE updates.
19/10/2020	Local Formula funding adjustment options to be consulted with schools. Through e-surveys. Consultation to include options for Growth, HNB Contributions and contributions to Education Welfare Service
Late October	Haringey School's Finance to present comparisons of IDACI changes to DSG Working Group. Report also to be shared with LA maintained schools.
TBC - Early November	DSG Funding Working Group Meeting - Modelling of APT options to be presented.
13/11/2020	Headteacher - Briefing: Indicative Budget Setting Tool 21-22 *Technical
20/11/2020	SBM Training: Indicative Budget Setting Tool 21-22
03/12/2020	December Schools Forum. Presentation of Options and Sign Off of full APT.

5 Central Schools Service Block (CSSB) in 2021-22

5.1 The DfE has committed to:

- **Ongoing responsibilities**
 - National 21-22 budget of c.£257m (up 3.8% on the previous year's).
 - LAs will have the same floor as in previous years of -2.5%, and the gains cap set at the maximum affordable rate of 6.45%
 - Additional pension funding claimed for centrally employed teachers will be rolled in as a per-pupil additional amount in the DSG.
- **Historic commitments**
 - 20% cash reduction to each LA's allocation, compared to 20-21.
 - Same protection as in 2020-21 whereby historic commitments funding cannot fall below the total value of ongoing termination of employment and prudential borrowing costs.

5.2 The impact for Haringey Council is a reduction of £74k for the financial year 2021-22 as compared to 2020-21.

5.3 The Chief Executive of HEP; motioned for a review of the CSSB spend and plans in line with previous requests made at Schools Forum. Could a working group be created to review? It was requested that the reduction in funding could only be applied to existing / historic commitments.

6 High Needs NFF in 2021-22

6.1 The DfE has committed to:

- **Main changes for 2021-22:**
 - Limit on gains reduced to 12%, but funding floor remaining at 8%
 - Incorporating the new IDACI data as mentioned previously
 - Rolling in the teachers' pay and pensions grants and supplementary fund.
- **Teachers' pay and pensions funding:**
 - £660 per pupil added to the basic entitlement factor – to be updated with October school census numbers in December.
 - New factor for the teachers' pay and pensions grant funding paid to AP and hospital schools, and the pensions supplementary fund – to be updated later in 2021 to annualised level of 2020-21 funding paid through the grants and supplementary fund

6.2 The DfE has provided the following insights to the local distribution of teacher's pay and pension funds:

- **Basic principle is that schools should not see a reduction in this funding unless the place numbers are reduced** (subject to the 40-place minimum)
- **Proposed additional DSG conditions of grant:**
 - a. The local authority must allocate high needs funding to those maintained schools, academies, and free schools to which it allocated Teachers' Pay Grant, Teachers' Pensions Employer Contribution Grant and Pensions Supplementary Fund monies in 2020-21, unless those schools have closed. The amount of funding to be allocated must be both at a level no less than the amount per place those schools and academies received in 2020-21, and subject to the 40-place number minimum.
 - b. This additional high needs funding must not result in a reduction to the number of places for which £10,000 per place is allocated to a school or to the top-up funding in respect of individual pupils, and must be disregarded in applying the protection for special schools.

6.3 The DfE has made assurances that plans to review the High Needs NFF will go ahead. They have promised a review within 4 years. The review will include factors of historic spending and the weighting that was applied. They are aiming to consult on any changes for 2022-23 – but the timings of this consultation have not been determined yet. The Department need to consider any conclusions from the outcome of the SEND review which is still to be published.

7 Early Years Update

7.1 On 20 July 20 the DfE announced that they will continue to pay LAs for the childcare places they usually fund. The guidance to LAs sets out that:

- LAs should continue to fund early years providers who are open and offering their usual entitlements places at broadly the levels they would have

expected to see in the 2020 autumn term had there been no coronavirus outbreak.

- In addition, LAs should continue to fund providers who have been advised to close, or left with no option to close, for public health reasons.
- LAs should **not** fund providers who are closed in the autumn term 2020 for non-public health reasons.

Guidance is available at: <https://www.gov.uk/government/publications/use-of-free-early-education-entitlements-funding-during-the-coronavirus-outbreak>

- 7.2 The DfE has set out how LAs will be funded in 2020-21: the January 2020 census data will be used for funding allocations to LAs for the autumn term 2020. Normally the DfE would have applied the January 2021 census data for funding allocations. The DfE expects local authorities to pass that support onto providers who are open but may not be as full as usual. The DfE intends to return to the normal early years funding process from the start of 2021. With the intention to use the January 2021 census count to drive funding allocations for the 2021 spring term. It is expected that local authorities' funding to childcare providers will return to the normal approach for all providers from 1 January 2021. But the DfE will keep this under review and confirm the approach in further guidance in the autumn.

8 DSG 2020-21 Outturn Position at – August 2020.

- 8.1 The overall DSG position projection in August 2020 (P5) is a £4.6m deficit. The HNB is the main pressure to the DSG grant with a £4.6m deficit in-year projection. The following graph represents the under and overspends projections of the different blocks for 2020-21. The overall overspend equates to 2.42% of Haringey's overall grant allocation after recoupment.

Graph B: DSG Out-turn

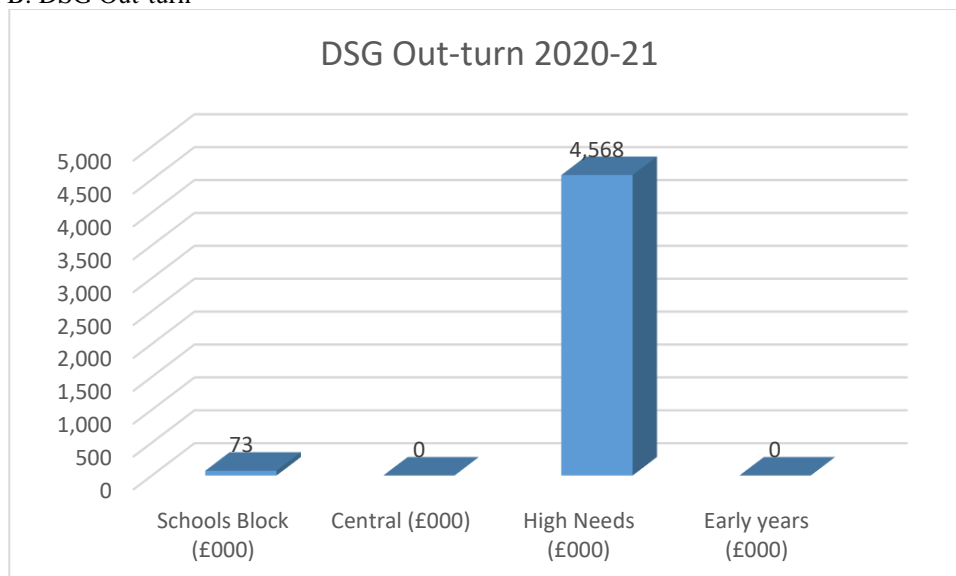


Table B below provides the gross expenditure projections of the DSG and details of the closing DSG reserve balances if the financial year ended now.

Table B: DSG Out-turn Projection @ P5 2020.

2020-21 DSG Budget Forecast @ P5	Schools Block (£000)	Central (£000)	High Needs (£000)	Early years (£000)	Total (£000)
Schools Block DSG funding settlement	130,166.44	2,945.89	38,653.58	20,363.17	192,129.08
Schools Block to High Needs Block (0.25%)	0.00	0.00	0.00	0.00	0.00
Growth Fund	0.00	0.00	0.00	0.00	0.00
Additional SEN funding	0.00	0.00	0.00	0.00	0.00
Total funding Allocation	130,166.44	2,945.89	38,653.58	20,363.17	192,129.08
Projected Expenditure	130,239.61	2,945.92	43,221.16	20,363.18	196,769.88
In year Position	-73.17	-0.03	-4,567.58	-0.01	-4,640.80
B/fwd Balances	0.00	-10.26	-10,066.96	-107.53	-10,184.75
Net Position	-73.17	-10.29	-14,634.54	-107.54	-14,825.55

The in-year overspend needs to be added to the 2019 Carry Forward deficit meaning the DSG will finish with a deficit of £14.8M if the financial year ended today.

High Needs Block

- 8.2 The High Needs Block (HNB) overspend for the year is £14.634m inclusive of carry forward of £10.06m. The main pressure areas continue within the special schools spend and various top up funding as this is a demand led funding pot.
- 8.3 A comprehensive report from the Head of Service is to be presented to the School forum for HNB strategies over the next 3 years and which forms part of DSG deficit recovery plan.
- 8.4 The SEND review by the DfE is still waiting publication.

Schools Block

- 8.5 The projections for the Schools Block is reported as breaking even but there has been a rise in License Deficit Applications from our schools in this financial year. The total number of Schools with a Licensed Deficit is nineteen. Of these, one school has asked for a debt restructure. This has been reviewed and the school is re-submitting their application. The total number of Schools with a Licensed Deficit application made in the last nine months is ten and of these applications, five have been approved. Three of these Schools have received a cash advance and are awaiting a Licensed Deficit application to formalise the restructure of the

payment. Two Schools have been rejected and advised to provide reliable cash flow projections and a sustainable repayment plan.

- 8.6 Covid and loss of income generation has been the main driver for this immediate rise. The LA are still committed to providing cash advances to address any cash flow problems that schools face due to Covid.

9 Dedicated Schools Grants (DSG) Deficit recovery Plans Update

- 9.1 There is no set Deficit Recovery Plan (DRP) available currently. As SEND spending is the source of the overspends, SEND is ultimately responsible for driving the plan with support from colleagues across the LA and other stake holders.
- 9.2 On the 16th of September, the ESFA sent out a deficit recovery template which is available on their site at the following link - <https://www.gov.uk/government/publications/dedicated-schools-grant-dsg-deficit-management-plan>
- 9.3 This template is the reporting format required by the DfE and links to relevant datasets to allow for comparisons to other LAs. The template includes recording of the reporting to Schools Forum and other partnership work within the body of the report.
- 9.4 The DRP for Haringey is closely aligned to Haringey's SEND review and back to budget projects. The LA has been reviewing the use of HNB budgets and linking in with the London Finance Advisory Committee (LFAC) to ensure consistency of HNB spend usage with other London partners.
- 9.5 The LFAC provided some guidance on eligible activities and spend that the High Needs Block can be used for (see attachment "DSG HNB Guide"). Haringey broadly aligns with the guidance in this report: one of the wider strategies is to review spend in HNB that are essential and statutory and which are desirable. Further, analysis usage versus spend outcomes would be expected before decisions to reduce or stop funding are taken. It should be noted that this guidance is not a directive from Government but is guidance as a result of a cross section review of partner London Boroughs.
- 9.6 Recovery plans should be discussed with Schools' Forums and be signed off by the local authority's chief financial officer (CFO) before the plans are submitted to the DfE. Therefore, we plan to provide Schools Forum with recovery plan updates throughout the academic year.
- 9.7 Updates from the DfE regarding DRPs will be provided to Schools Forum when they are received.

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HIGH NEEDS BLOCK DEDICATED SCHOOLS GRANT (HNB DSG)

A guide for Local Authorities on
activities that can be funded using the
HNB DSG

1. Introduction & background

- 1.1. As is the case with many grants awarded to local authorities, the High Needs Block (HNB) of the Dedicated Schools Grant (DSG), has funding rules which provide Local Authorities (LA's) with information on what the grant awarding body – in this case central government – expect from LA's regarding how the grant is utilised.
- 1.2. LA's have not always found it easy to interpret these published rules and this has resulted in inconsistent practice across LA's.
- 1.3. A group of LA officers representing eight London LA's attended a series of meetings to discuss practice in those LA's and where there were differences in the application of HNB funding, those differences were subject to debate until a consensus was reached. This document provides the outcome of those discussions and is expected to be used as a guide by LA's in deciding what should and should not be paid using HNB funding.

2. Sources used to decide

- 2.1. The following documents were used as the main sources of information to understand the funding rules:
 - I. Section 251 budget guide for local authorities 2019 to 2020 ([Link](#))
 - II. The School and Early Years Finance (England) Regulations 2020 (SEYFR 2020) ([Link](#))
 - III. DSG Technical Note 2020 to 2021 ([Link](#))
 - IV. High Needs Funding Operational Guide 2020 to 2021 ([Link](#))

3. Activities often engaged by LA's which can be funded by DSG HNB

- 3.1. The following table summarises the activities where it was concluded that the costs can be funded using HNB funding:

Activity description	Source used to make the final decision
All forms of high needs 'place funding' to local special schools, Pupil Referral Unit's (PRU)/AP, mainstream schools and sixth form colleges	S251-1.0.2
All forms of 'top-up funding' related to children and young people (CYP) with an Education Health and Care Plan (EHCP). The recipient can be any type of organisation where the pupils are on roll – independent, mainstream, academies, PRUs, colleges, nurseries etc	S251-1.2.1 S251-1.2.2 S251-1.2.3
Support for Inclusion – where LA's engage specialist teachers and early-support officers in order to develop a school's capacity to meet pupils' needs through advice, support, training, modelling of programmes of learning and direct teaching.	S251-1.2.8
Additional high needs targeted funding for CYP where they could benefit from additional funding outside the normal EHCP top-up funding. This funding could be paid to the educational establishment where the CYP is on roll. Or it could be targeted funding paid to a supplier for the purchase of resources. Or it could be a direct payment to the family (parent/carers). This funding could be targeted at CYP who do not have an EHCP but are identified as having special educational needs by either LA officers or staff working at the school	S251-1.2.4
Expenditure on encouraging activities for children with SEN in schools. CYP who benefit from these activities do not necessarily need to have an EHCP as long as they are identified by LA officers or school staff as having SEN.	S251-1.2.8
Other alternative provision services for CYP with SEND , with and without an EHCP	S251-1.2.7
Any therapy costs incurred by the LA. This will usually be physical therapy	S251-1.2.13

Activity description	Source used to make the final decision
or any speech and language therapy.	
Any sensory impairment related costs incurred by the LA supporting CYP with visual impairment or hearing impairment. This will usually either be a team directly employed by the LA or a bought-in service.	S251-1.2.5
Home-visiting educational service for pre-school children with SEND and their families (Portage)	S251-1.2.8
SEN management staff and support staff working across SEND	SEYFR 2020-Schedule 2 para 32
Costs incurred by the LA for Elective Home Education (EHE) and Children Missing Education (CME) (for children with SEND)	S251-1.2.5
Any direct payments associated with CYP who have special educational needs and disabilities (SEND)	S251-1.2.11
Hospital education services for children resident in local authority area or those who are in the area because that is where they receive their health care.	S251-1.2.6
Any legal fees relating to CYP with SEN. For example associated with tribunals	SEYFR 2020 - Schedule 2 para 30
Any specialist intervention expenditure - encouraging the education of children and young people with special educational needs at schools	S251-1.2.8

4. Activities discussed where it was concluded that costs should not be funded by the HNB

4.1. The following table summarises the activities where it was concluded that the costs should not be funded using HNB funding:

Activity description	Source used to make the final decision
Educational Psychologists (core/statutory service)	S251-2.1.1
EHCP assessment team and coordinators	SEYFR 2020 - Schedule 1 para 2
Central Support Service Overheads - e.g. central recharges for finance, ICT, buildings.	GF or CSSB block
Education Welfare Service – all costs associated with safeguarding and the protection of children	SEYFR 2020 - Schedule 1 para 6
Homecare for disabled children	S251- 3.4.4

5. Areas of potential further work for this or another cross-LA working group

- 5.1. A consistent cost centre structure across LA's and consistent mapping to S251 to improve the ability to benchmark across LA's.
- 5.2. To be clear about the relationship between the HNB inclusion costs and the Inclusion Fund within the Early Years Block (EYB).
- 5.3. To improve clarity where duties are carried out above the minimum statutory duties e.g. where schools are provided with additional Education Psychologists services above the statutory minimum to promote inclusion.
- 5.4. To establish a consistent approach to support costs.
- 5.5. To consider sharing this document with the DFE for comments.

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Revenue funding policy and National Funding Formulae in 2021-22

Presentation for local authority finance officers

16th September 2019



Department
for Education

Agenda

- **Section 1:** Overview of Schools NFF in 2021-22

- Rolling in Grants
- Sparsity factor
- IDACI factor
- LPA factor
- Hard Formula

- **Section 2:** CSSB

- **Section 3:** High Needs update

- **Section 4:** Early Years update

- **Questions:** Teams sidebar or

FundingPolicy.Queries@education.gov.uk as we go



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Section 1:

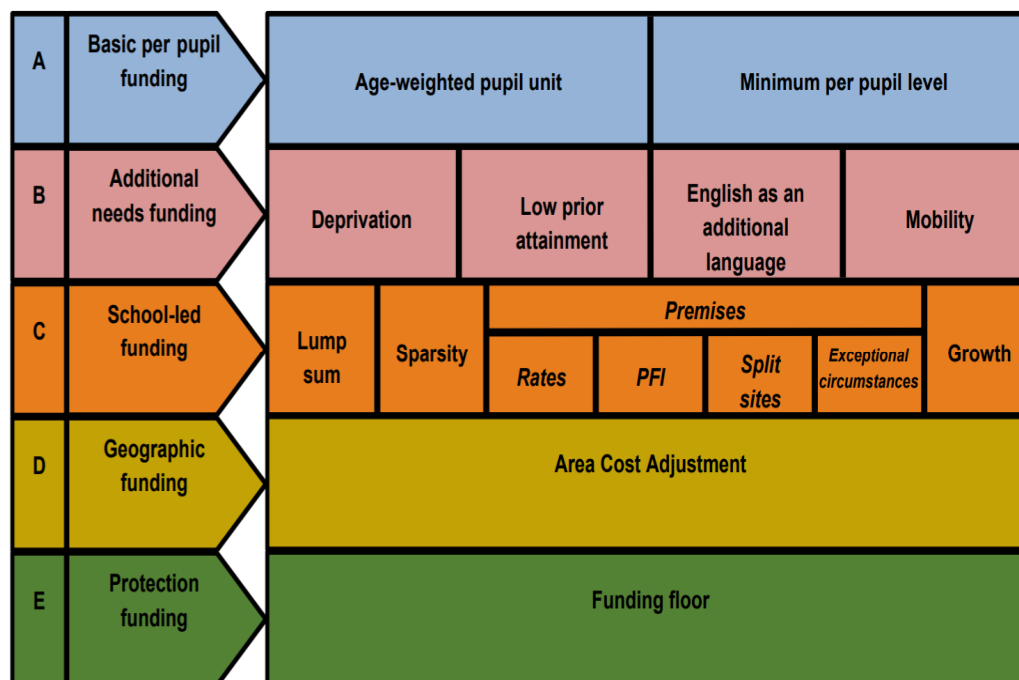
Schools NFF in 2021-22



Overview

The overall structure of the formula is the same as in 2020-21.

- Key factors have increased by 3%.
- Exceptions to this are:
 - Primary MPPL
 - FSM
 - Floor
 - Sparsity primary
 - Growth
 - Premises



- Additional per pupil amounts have been added to AWPU and MPPLs in order to roll in grants (we'll come onto this).



1A. Rolling in Teachers' Pay and Pension Grants



Overview of grants rolled into the schools NFF

- **Teachers' Pay Grant (TPG)**

- The 2018 and 2019 teachers' pay awards.
- Methodology to calculate per pupil rates:
<https://www.gov.uk/government/publications/teachers-pay-grant-methodology/teachers-pay-grant-methodology>

- **Teachers' Pension Employer Contribution Grant (TPECG)**

- Increased employer contribution rates.
- Methodology to calculate per pupil rates:
<https://www.gov.uk/government/publications/teachers-pension-employer-contribution-grant-tpecg/pension-grant-methodology>



Methodology for rolling grant funding into the schools NFF

- **Aim of rolling in TPG and TPECG**
- **Methodology to achieve that aim**
 - Adding per pupil amounts onto the age-weighted pupil (AWPU).
 - Adding the same per pupil amounts to the MPPLs.

	TPG	TPECG	Total grants
Primary	£47	£133	£180
Secondary	£69	£196	£265

* Based on the per pupil grant rates for the rest of England area

- Adding an amount representing the funding schools receive through the grants *and* supplementary fund onto baselines.



1B. Sparsity factor



Changes in 2021-22 and Impact

- **Change to factor values for 2021-22**
 - Prioritised small, remote primary schools.
- **Impact**
 - Greater overall allocation.
 - Similar group of schools attracting funding as in 2020-21, due to not changing eligibility.



Proposed further changes to the sparsity factor in 2022-23

- **Confirmed:**
 - Further increases to factor values

- **To be determined:**
 - Size of increase to factor values, by phase
 - Design of factor:
 - Methodology to measure sparsity distances;
 - Thresholds;
 - Exceptional sparsity funding.



1C. Income Deprivation Affecting Children Index (IDACI) factor



Changes to IDACI in 2021-22

- **IDACI funding in the 2021-22 NFF is based on the updated 2019 dataset.**
- **Key points from the 2019 dataset:**
 - Deprivation continues to be dispersed;
 - Majority of most deprived LAs remained the same;
 - The most deprived local authorities on this measure are typically found in the Midlands or the north of England.



Changes to IDACI in 2021-22

- Banding is now based on ranks not scores

Previous:

NFF IDACI bands	
IDACI score	Band
Between 0.5 and 1	A
Between 0.4 and 0.5	B
Between 0.35 and 0.4	C
Between 0.3 and 0.35	D
Between 0.25 and 0.3	E
Between 0.2 and 0.25	F

Updated:

Factor	Ranks	Band
Pupils in the most deprived 2.5% of LSOAs	1 to 821	A
Pupils in the next 5% most deprived LSOAs	822 to 2463	B
Pupils in the next 5% most deprived LSOAs	2464 to 4105	C
Pupils in the next 5% most deprived LSOAs	4106 to 5747	D
Pupils in the next 10% most deprived LSOAs	5748 to 9032	E
Pupils in the next 10% most deprived LSOAs	9033 to 12316	F



Illustrative Examples

- 2020-21 (Based on **2015 IDACI data**): Primary School A

Pupil	LSOA Score	IDACI Band	Factor value
1	0.15	G	£0
2	0.212	F	£210
3	0.3	D	£375
4	0.275	E	£250
5	0.41	B	£435

- Based solely on these 5 pupils, School A would have attracted £1,270 in total in 2020-21.
- We now determine bands based on rank positions of LAs.

- 2021-22 (Based on **2019 IDACI data**): Primary School B

Pupil	LSOA Score	IDACI Rank	IDACI Band	Factor value
1	0.15	14,044	G	£0
2	0.235	7,767	E	£260
3	0.3	4,367	D	£410
4	0.275	5,584	D	£410
5	0.34	2,908	C	£445

- Based solely on the same 5 pupils, School A will have attracted £1,525 in total in 2021-22.



1D. Low Prior Attainment (LPA) factor



Overview of LPA factor and NFF weightings in 2021-22

- **Eligibility**

- Based on EYFSP and KS2 data.

- **Factor values**

- Primary: £1,095; Secondary: £1,660

- **Weighting**

- More challenging KS2 tests introduced in 2015/16.
- 2021-22 NFF weightings:

For pupils in year 7 in October 2019:	0.64527
For pupils in year 8 in October 2019:	0.63586
For pupils in year 9 in October 2019:	0.58045
For pupils in year 10 in October 2019:	0.48019
For pupils in year 11 in October 2019:	1



1E. Hard Formula



Plan regarding hard NFF

- **Movement to NFF**
- **Things we've already confirmed:**
 - No further changes to local flexibility over school funding in 21-22.
 - Consultation in the near future.
- **Things yet to be confirmed, and to be consulted on:**
 - How we will transition to the hard NFF.
 - Hardening individual factors between now and 2024-25.
 - Arrangements for particular funding streams (CSSB, Growth).



Schools NFF Q&A



Department
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Section 2:

Central School Services Block (CSSB)



CSSB Update

- **Ongoing responsibilities**

- National 21-22 budget of c.£257m (up 3.8%).
- LAs will have the same floor as in previous years of -2.5%, and the gains cap set at the maximum affordable rate of 6.45%
- Additional pension funding claimed for centrally employed teachers will be rolled in as a per-pupil additional amount in the DSG.

- **Historic commitments**

- 20% cash reduction to each LA's allocation, compared to 20-21.
- Same protection as in 2020-21 whereby historic commitments funding cannot fall below the total value of ongoing termination of employment and prudential borrowing costs.
- We will apply this protection in the DSG and LAs in this position should contact: FundingPolicy.QUERIES@education.gov.uk.



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Section 3: **High Needs NFF in 2021-22**



High Needs NFF Overview

- **Main changes for 2021-22:**
 - Limit on gains reduced to 12%, but funding floor remaining at 8%
 - Incorporating the new IDACI data as mentioned previously
 - Rolling in the teachers' pay and pensions grants and supplementary fund
- **Teachers' pay and pensions funding:**
 - £660 per pupil added to the basic entitlement factor – to be updated with October school census numbers in December
 - New factor for the teachers' pay and pensions grant funding paid to AP and hospital schools, and the pensions supplementary fund – to be updated later in 2021 to annualised level of 2020-21 funding paid through the grants and supplementary fund



Local distribution of teachers' pay and pensions funding

- **Basic principle is that schools should not see a reduction in this funding unless the place numbers are reduced** (subject to the 40 place minimum)
- **Proposed additional DSG conditions of grant:**
 - a. The local authority must allocate high needs funding to those maintained schools, academies and free schools to which it allocated Teachers' Pay Grant, Teachers' Pensions Employer Contribution Grant and Pensions Supplementary Fund monies in 2020-21, unless those schools have closed. The amount of funding to be allocated must be both at a level no less than the amount per place those schools and academies received in 2020-21, and subject to the 40 place number minimum.
 - b. This additional high needs funding must not result in a reduction to the number of places for which £10,000 per place is allocated to a school or to the top-up funding in respect of individual pupils, and must be disregarded in applying the protection for special schools set out in paragraph X.



High needs NFF review

Planning to carry out a review of the high needs NFF:

- Promised a review within 4 years
- A review of the factors, including the historic spend factor, and the weightings applied
- We will consult on any changes proposed, with the initial focus on any changes for 2022-23 – consultation timings not yet decided
- Need to take into account the conclusions of the SEND review and longer term changes to the SEND system, so we may need subsequent consultations covering further changes



High Needs NFF Q&A



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Section 4: **Early Years Update**



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Early years funding – funding for autumn 2020 term

- On 20 July 20 we announced we will continue paying LAs for the childcare places they usually fund.
- Our guidance to LAs sets out that:
 - LAs should continue to fund early years providers who are open and offering their usual entitlements places at broadly the levels they would have expected to see in the 2020 autumn term had there been no coronavirus outbreak.
 - In addition, LAs should continue to fund providers which have been advised to close, or left with no option to close, for public health reasons.
 - But, LAs should not fund providers who are closed in the autumn term for non-public health reasons.
- Guidance is available at: <https://www.gov.uk/government/publications/use-of-free-early-education-entitlements-funding-during-the-coronavirus-outbreak>



Early years funding – How LAs will be funded in 2020-21

- Normally we would use the January 2021 census data for funding allocations for the 2020 autumn term. By exception, we will use the January 2020 census data for funding allocations to LAs for the autumn term 2020.
- We expect local authorities to pass that support onto providers who are open, but may not be as full as usual.
- We intend to return to the normal early years funding process from the start of 2021. This means we intend to use the January 2021 census count to drive funding allocations for the 2021 spring term. It is also our expectation that local authorities' funding to childcare providers will return to the normal approach for all providers from 1 January 2021. However, we will keep this under review and confirm the approach in further guidance in the autumn.



Contact Details:

Fundingpolicy.queries@education.gov.uk

For queries regarding policy and the CSSB.

For queries about actual allocations, the APT, or other things of a more operational nature, please continue to use the ESFA contact form.



Department
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<p>Agenda Item</p>



<p>Report Status</p> <p>For information/note <input checked="" type="checkbox"/></p> <p>For consultation & views <input checked="" type="checkbox"/></p> <p>For decision <input checked="" type="checkbox"/></p>

Report to Haringey Schools Forum –15th October 2020

<p>Report Title: Covid-19 Emergency Fund – amount and criteria</p>

Author:

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Purpose:

1. To update Schools Forum on the ESFA fund for Covid and how schools have been able to apply (section 2).
2. To:
 - a) inform members of the DSG Working Group's proposed Covid Fund arrangements using the business rates rebate: and
 - b) provide to School's Forum the options from the outcome of working group discussions

Recommendations:

1. School's Forum note the ESFA Covid funding information, and
2. Schools Forum to determine how the Covid Emergency Support Fund will be distributed using one of the options set out in paragraph 3.3 below.

1 Introduction.

- 1.1 As discussed in December 2019 School's Forum there is a one-off reserve of funds because of a revaluation of schools for the business rates assessments. After individual allocations, the balance of the reserve was to be considered at a future School's forum.
- 1.2 In July 2020, Schools Forum agreed for a working group to be created to look at providing funding for Covid support. It was agreed to consider the balance of the business rates refund and also the residual uncommitted elements of the Schools in Financial Difficulty to create a pool of funds available to be distributed to ameliorate the budget pressures facing schools as a result of Covid.
- 1.3 On the 22nd of September, the DSG Funding working group met to discuss the difficulties facing schools and then came to two alternative solutions to present to School's Forum. These are set out in paragraph 3.3 below.
- 1.4 The table details the total amount available and funding sources as presented in the July 2020 School's Forum:

Covid Emergency Support Fund 2020 -21	Rates Refund	Schools in Financial Difficulty (DSG) 2019-20	Schools in Financial Difficulty (DSG) 2020-21
	"£'000"	"£'000"	"£'000"
Opening Balance	914	179	179
Business Rates Contingency for Coleridge in 2019-20	-48	0	0
Financial Management Support 2019-20	0	-67	0
Financial Management Support 2019-20 Carried Forward	-100	-53	0
Financial Management Support 2020-21	-100	0	-120
Closing Balance to be used for CESF	666	59	59

Total Fund for 2020-21	£784K
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A total of £784K is the total of the fund. The source of the funds available for distribution are £666k from business rates refund and £118k the uncommitted balance of schools in financial difficulty budgets for 2019-20 and 2020-21.

2 Scope of existing DfE Exceptional Costs.

- 2.1 The DfE's position in respect of the repayment of the exceptional funding that:
 - schools are not eligible to make a claim against the Exceptional Costs fund if they expect to add to their existing historic surpluses in their current financial year.

- School could only make a claim based on 3 broad factors and between March and July 2020:
 - free school meals costs for eligible children.
 - Additional premises related costs.
 - Additional cleaning as a result of Covid.
 - Loss of any income generation is not expected to be repaid.
- 2.2 The ESFA noted the administrative work to assessing applications for the fund which has meant a delay in passporting money to LAs for distribution to schools where the applications have been upheld.
- 2.3 Having noted the ESFA's comments around criteria and process, the working group sought to find an equitable solution that provides a fair distribution of the fund to schools with as little administrative burdens as possible by a) schools making the claims, and b) the working group/LA to check and assess all claims.

3 Business rate rebate – Working Group Proposals on how it should be spent

- 3.1 In July 2020, Forum agreed that a working party of Forum members and officers would meet to determine who the business rate rebate might be shared out in the best interests of our schools. As per the July 2020 Forum meeting, the only eligible schools that can access this fund are: LEA primary and secondary maintained schools and settings serving the 5 – 16 age group. Access to this fund does not include special schools, nurseries, academies, voluntary aided and free schools¹. It is based on the premise that the funding sourced was from the School's Block APT and through the rates allocation.
- 3.2 The Working Party unanimously agreed that there would be too much of administrative burden for all parties to enter a complex claims process for the fund and instead a degree of a flat share out of funding would be recommended. Appendix 1 to this report (Covid Fund Modelling) provides some general information on how this fund might be shared among eligible schools.
- 3.3 There two options for Schools Forum to consider and agree:
- Option 1– the total £784k shared across all the eligible LEA Maintained schools excluding Voluntary Aided. (£784k / 43 Schools). This means a flat rate share of £18,232.56 per school.
 - Option 2 –the total £784k shared across all the eligible LEA Maintained schools excluding Voluntary Aided with a threshold limit of £13k each. (43 Schools @ £13k each). This means a flat rate share of £13,000 per school, with a remaining pot of £225K to be open for a further bidding process based on exceptional Covid costs and reduced income which are not covered by the EFSA Covid cost reimbursement and where the school is in financial difficulty as a result.

Bids for a portion of the remaining pot will be encouraged to be submitted and it is recommended that these be reviewed by the Restructure and Scrutiny Panel. The bids will need to be based on the Q3 returns from

¹ These schools cannot access this fund because their business rates are not funded via APT

schools. Further information and criteria for bids will be advised through the DSG working group.

- 3.4 Options 1 provides a straightforward resolution, while under Options 2 the complexities of making judgements on eligibility and processing remain. However this option provides some equity amongst all eligible schools and an opportunity to use the remaining balance to address other Covid related concerns: such as the possibility of opening up the fund to settings that have no recourse to claim for DfE backed funding for Covid related costs, or to spend more strategically to support all specific Covid issues.
- 3.5 It should be noted that any unspent balances will have to be put back against the overall DSG overspend as this fund is time limited for the 2020-21 year only. The money therefore needs to be distributed by the end of this financial year. Whichever option is chosen, the passporting of funds to schools will be implemented after the October School's Forum, with funds distributed in the November cash flow run, i.e. either £18,232.56 or £13,000 provide to all eligible schools depending on the decision.
- 3.8 A further report or verbal update will be brought to the next School's Forum outlining how this matter has been progressed with our schools.

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Covid Fund - Distribution - Haringey 2020-21

Total Fund
784,000

LA/Academy	Primary/Secondary	School	DfE	URN	Option 1	Option 2
					Only LEA Maintained <u>excluding</u> Voluntary Aided Schools receive an <u>equal</u> share.	LEA Maintained <u>excluding</u> Voluntary Aided Schools receive £10k with the balance to be used on a bidding.
LA	Primary	Belmont Junior School	2002	102078	18,232.56	13,000.00
LA	Primary	Belmont Infant School	2003	102079	18,232.56	13,000.00
LA	Primary	Bounds Green Junior School	2004	102080	18,232.56	13,000.00
LA	Primary	Bounds Green Infant School	2005	102081	18,232.56	13,000.00
LA	Primary	Campsbourne Junior School	2008	102084	18,232.56	13,000.00
LA	Primary	Campsbourne Infant School	2009	102085	18,232.56	13,000.00
LA	Primary	The Devonshire Hill Nursery & Primary School	2015	102087	18,232.56	13,000.00
LA	Primary	Earlsmead Primary School	2020	102091	18,232.56	13,000.00
LA	Primary	Highgate Primary School	2022	102092	18,232.56	13,000.00
LA	Primary	Lancasterian Primary School	2025	102094	18,232.56	13,000.00
LA	Primary	Coldfall Primary School	2029	102097	18,232.56	13,000.00
LA	Primary	Tetherdown Primary School	2031	102098	18,232.56	13,000.00
LA	Primary	Rokesly Junior School	2041	102106	18,232.56	13,000.00
LA	Primary	Rokesly Infant & Nursery School	2042	102107	18,232.56	13,000.00
LA	Primary	South Harringay Junior School	2045	102110	18,232.56	13,000.00
LA	Primary	South Harringay Infant School	2046	102111	18,232.56	13,000.00
LA	Primary	Stamford Hill Primary School	2047	102112	18,232.56	13,000.00
LA	Primary	West Green Primary School	2051	102115	18,232.56	13,000.00
LA	Primary	Tiverton Primary School	2057	102120	18,232.56	13,000.00
LA	Primary	Coleridge Primary School	2058	102121	18,232.56	13,000.00
LA	Primary	Welbourne Primary School	2062	102124	18,232.56	13,000.00
LA	Primary	Lea Valley Primary School	2063	102125	18,232.56	13,000.00
LA	Primary	Ferry Lane Primary School	2065	102127	18,232.56	13,000.00
LA	Primary	Rhodes Avenue Primary School	2072	102128	18,232.56	13,000.00
LA	Primary	Crowland Primary School	2075	102129	18,232.56	13,000.00
LA	Primary	Weston Park Primary School	2076	102130	18,232.56	13,000.00
LA	Primary	The Willow Primary School	2077	102131	18,232.56	13,000.00
LA	Primary	St Aidan's Voluntary Controlled Primary School	3000	102132	-	-
LA	Primary	St Michael's CofE Voluntary Aided Primary School	3302	102135	-	-
LA	Primary	St James Church of England Primary School	3303	102136	-	-
LA	Primary	St Mary's CofE Primary School	3306	102139	-	-
LA	Primary	Our Lady of Muswell Catholic Primary School	3500	102142	-	-
LA	Primary	St Francis de Sales RC Infant School	3507	102143	-	-
LA	Primary	St Ignatius RC Primary School	3502	102144	-	-
LA	Primary	St Mary's Priory RC Junior School	3503	102145	-	-
LA	Primary	St Paul's RC Primary School	3504	102146	-	-
LA	Primary	St Mary's Priory RC Infant School	3505	102147	-	-
LA	Primary	St Peter-in-Chains RC Infant School	3506	102148	-	-
LA	Primary	St Francis de Sales RC Junior School	3501	102149	-	-
LA	Primary	St Martin of Porres RC Primary School	3508	102150	-	-
LA	Primary	St Gildas' Catholic Junior School	3509	102151	-	-
LA	Primary	St John Vianney RC Primary School	3510	102152	-	-
LA	Primary	Alexandra Primary School	2078	130358	18,232.56	13,000.00
LA	Primary	Stroud Green Primary School	2079	131096	18,232.56	13,000.00
LA	Primary	Earlham Primary School	2080	131478	18,232.56	13,000.00
LA	Primary	Lordship Lane Primary School	2082	131595	18,232.56	13,000.00
LA	Primary	Bruce Grove Primary School	2083	131731	18,232.56	13,000.00
LA	Primary	Muswell Hill Primary School	2085	131871	18,232.56	13,000.00
LA	Primary	Risley Avenue Primary School	2084	131879	18,232.56	13,000.00
LA	Primary	Seven Sisters Primary School	2088	132253	18,232.56	13,000.00
LA	Primary	The Mulberry Primary School	3001	133707	18,232.56	13,000.00

LA	Primary	Chestnuts Primary School	3511	134680	18,232.56	13,000.00
LA	Primary	North Harringay Primary School	3512	134681	18,232.56	13,000.00
Free	Primary	Eden Primary	2011	136808	-	-
Academy	Primary	Harris Primary Academy Coleraine Park	2010	138446	-	-
Academy	Primary	Harris Primary Academy Philip Lane	2087	138447	-	-
Academy	Primary	Noel Park Primary School	2028	138588	-	-
Academy	Primary	Trinity Primary Academy	2064	138589	-	-
Academy	Primary	St Ann's CE Primary School	3304	139169	-	-
Academy	Primary	St Michael's CofE Primary School	3307	139175	-	-
Academy	Primary	St Paul's and All Hallows CofE Infant School	3300	139176	-	-
Academy	Primary	St Paul's and All Hallows CofE Junior School	3308	139177	-	-
Academy	Primary	Holy Trinity CofE Primary School	3301	139240	-	-
Free	Primary	Brook House Primary School	2012	141209	-	-
LA	Secondary	Fortismere School	4032	102156	18,232.56	13,000.00
LA	Secondary	Gladesmore Community School	4033	102157	18,232.56	13,000.00
Academy	Secondary	Greig City Academy	6905	133386	-	-
Academy	Secondary	Harris Academy Tottenham	4000	140935	-	-
Academy	Secondary	Heartlands High School	4705	139616	-	-
LA	Secondary	Highgate Wood Secondary School	4030	102154	18,232.56	13,000.00
LA	Secondary	Hornsey School for Girls	4029	102153	18,232.56	13,000.00
Academy	Secondary	Duke's Aldridge	4031	144900	-	-
LA	Secondary	Park View School	4037	131757	18,232.56	13,000.00
Academy	Secondary	St Thomas More Catholic School	4703	139362	-	-
Academy	Secondary	Alexandra Park School	4036	137531	-	-
Academy	Secondary	Woodside High School	4034	137745	-	-
					784,000.00	559,000.00

Balance to be distributed (based on bidding)

- 225,000.00

Key:

	Voluntary Aided
	Academy

The Covid-19 Emergency Fund is sourced from the Business Rates Relief allocated to Maintained Schools. Voluntary Aided Schools do not receive this via the APT Formula (Land is owned by Diocese).



Agenda Item XX

Report to Haringey Schools Forum – date

Report Status

For information/note ☐
For consultation & views ☐

Report Title: School Places and Finance Briefing for Schools Forum

Author: Carlo Kodsi (Head of Admissions and School Organisation) and Nick Shasha (School Place Planning Lead)

Contact: carlo.kodsi@haringey.gov.uk / nick.shasha@haringey.gov.uk

Purpose: This report provides an intelligence briefing covering Schools' PAN (Planned Admission number), related financial implications and the role the Council has in working with schools to effectively manage school rolls.

Recommendations: This report asks Schools Forum to note and support that all of our schools in Haringey must be responsive to downward trends in reception school rolls to ensure the sustainability, diversity, and number of existing Haringey primary schools.

Report on School Places and Finance Briefing for Schools Forum

1. Aim of report

- 1.1 This report provides an intelligence briefing covering schools' PAN (Planned Admission number), related financial implications and the role the Council has in working with schools to effectively manage school rolls.

2. Background

- 2.2 The Local Authority has a statutory duty to provide school places for all compulsory aged children resident in the borough who require one.
- 2.3 The demand for primary and secondary school places is in a constant state of flux and school place planning is undertaken to match the supply of school places with current and projected demand to ensure we have continued sufficiency.

3. Finance

- 3.1 School funding is largely based on pupil numbers. Schools will face difficult financial challenges if any of their classes are not full. Schools are largely funded on a 'per pupil' basis i.e. how many pupils attend the school.

- 3.2 The Council has a duty of care to ensure children in its schools are able to receive a good education and to access the full curriculum. Schools with a declining roll will be challenged to do this effectively because of the inevitable financial pressures from reduced funding.
- 3.3 As pupil numbers drop, we aim to work with schools to take action to avoid moving into a budget deficit. We work with community schools (local authority controlled) and with academies, free schools, and voluntary aided schools to balance pupil numbers to reflect actual and projected demand. Where rolls are not full, schools have to take decisions to balance their budgets and these might include some or all of the following:
- reducing the number of teaching and/or support posts
 - Introducing mixed age teaching (i.e. mixing two year groups into one class)
 - Reducing expenditure on other support staff, ICT and teaching resources
 - Offering fewer enrichment activities for pupils
 - Looking at contracts and other expenditure to maximise efficiency

4. Limitations of Haringey role

- 4.1 Despite the statutory duty to provide school places for compulsory aged children resident in the borough Haringey is limited in its powers when proposing a decrease to the PAN for its community and voluntary controlled schools. The School Admissions Code sets out that 'admission authorities **must** consult where they propose a decrease to the PAN. Community and voluntary controlled schools have the right to object to the Schools Adjudicator if the PAN set for them is lower than they would wish. There is a strong presumption in favour of an increase to the PAN to which the Schools Adjudicator **must** have regard when considering any such objection."
- 4.2 In addition, the recent Office of the Schools Adjudicator Annual Report, the Chief Adjudicator writes that 'where a school can accommodate the number of children indicated by a particular PAN and there is demand from parents for those places, it would require a very strong argument to persuade an adjudicator to allow a PAN reduction to which the school objected."
- 4.3 One objection to a reduction in PAN was from the governing board of a community primary school which objected to the local authority's determination of a reduced PAN for the school. The School Adjudicator accepted the school's assertion that they could attract more children to the school despite evidence of decreased demand for reception places in the local area. The school currently continues to operate at significantly below three forms of entry.
- 4.4 Furthermore, Haringey's powers are also limited because a large number of schools for which the local authority is not the admission authority (that is foundation, voluntary aided and academy schools). Of all Haringey primary schools with a Reception intake, 57% (32) are community/voluntary controlled. 4 out of 12 secondary schools (33%) are community schools (the remainder being academies or foundations schools. See Figure 1 below for more information.

Figure 1 – Percentage of schools and pupils which Haringey can propose adjusting PAN

	Primary (56)	Secondary (12)
Percentage of schools community/VC	57% (32 schools)	33% (4 schools)
Percentage of pupils attending community/VC	63% (5,534 pupils)	34% (4,380 pupils)

Source: Haringey Education Services 2020

Note: Junior schools (Y3-Y6) are excluded from the analysis. Harris Academy Tottenham is included in both primary and secondary as an all-through school.

4.5 Figure 1 shows the limited role that Haringey has in being able to impose reductions on schools for which the local authority is not the admission authority. Several additional key obstacles can also impact the LA's ability to adjust PAN. These include:

- maintaining a balance between different varieties of school in each of our 5 planning areas to meet demand, e.g. single sex or faith schools
- the inability to lower PAN at 1FE schools and the challenges they face related to economies of scale / small size
- the necessity to get buy-in from the Headteacher, school governors, parents, teachers and local community to agree to reduction

5. Reductions in PAN

5.1 In recent years, Schools and Learning has made or assisted in the following temporary or permanent reductions in PAN across four of our five planning areas (PA).

Figure 2 – Reductions in PAN across Haringey primary schools, 2016-2020

PA	School	Planned Admission Number					Notes
		2016	2017	2018	2019	2020	
2	St Mary's CofE	90	60	60	60	60	From Sept 17, PAN set to 2fe
2	St Peter in C.	60	60	60	30	30	Request Adjudicator to stay at 1fe for 2020
3	Stamford Hill	30	30	30	30	n/a	Closed on 31 August 2020
3	Tiverton	60	60	30	30	60	Amalg. with Stamford Hill in Sept 2020, bringing PAN back up from 30 to 60 for the reception cohort and absorbing pupils from the former Stamford Hill from years 1 to 5
4	Welbourne	90	90	90	90	60	Permanent reduction in PAN by 1fe from Sept 2020
4	Earlham	60	30	60	60	60	Temporary reduction in PAN in Sept. 2017
5	Trinity P.A.	60	60	60	90	60	Proposal to increase PAN unsuccessful with LA objection on lack of demand forming part of the decision making

Source: Haringey Education Services 2020

5.2 In addition to reducing PAN at some of our community schools, we have also worked with some of our faith schools to temporarily reduce PAN. We have been in regular dialogue with both the Catholic and CofE Dioceses' about the need to act to preserve the sustainability of Haringey schools. In some circumstances amalgamations may be necessary, especially if two 1fe entry schools, located in close proximity to one another, are struggling to fill.

6. Changes in primary demand since 2012 and future projections including the latest data from the 2020 January census

- 6.1 The number of Reception places available between 2012 and 2020 in Haringey has generally been on a downward trajectory from a high of 3,259 in 2012 to a low of 2,952 in 2019. The latest projections from our 2020 School place planning report (SPPR) suggest demand for school places will not exceed 3,000 as far as our projections currently extend which is 2026/27.
- 6.2 However, demand for first place preferences for September 2020 has shown to be the highest (3,041) since September 2015 (3,050) whilst projected reception places (for September 2020) from the 2020 SPPR are 2,998, a difference of the equivalent of two forms of entry across the borough.
- 6.3 The current overall surplus for entry to Reception in September 2020 is 284 places (equivalent to nine forms of entry). This gives us a surplus margin of 8.8% across the borough and some surplus is essential for in-year applications and to allow for late applications and preference change. The DfE recommends a surplus margin of 5%.
- 6.4 The surplus of reception places is not spread evenly across the borough with the greatest Reception surpluses (over half) to found in planning area 4 and to a lesser extent planning area 5. The actions taken in Table 2 above to reduce capacity have lessened some of the financial burden across our school's estate but capacity needs to be reduced further.

7. 2020 School Place Planning report projections in more detail and the latest data from the January 2020 census

- 7.1 The data below is a summary of the latest 2020 Reception projections from the School Place Planning report along with the latest January 2020 school census number for Reception aged pupils.

Figure 3 – Reception places borough wide

Intake year	Reception aged pupils	Number of school places across borough	% of reception surplus	Deficit/surplus No. of places	Equivalent Form of Entry (fe)
2017/18	2,979 (actual)	3,290	9.5%	311	11fe
2018/19	3,029 (actual)	3,290	7.9%	261	9fe
2019/20	2,952 (actual Jan 2020)	3,296	10.4%	344	12fe
2020/21	2,998 (projected)	3,236	7.4%	238	8fe
2021/22	2,856 (projected)	3,236	11.7%	380	13fe
2022/23	2,886 (projected)	3,236	10.8%	350	12fe
2023/24	2,822 (projected)	3,236	12.8%	414	14fe
2024/25	2,908 (projected)	3,236	10.1%	328	11fe
2025/26	2,949 (projected)	3,236	8.9%	287	10fe
2026/27	2,977 (projected)	3,236	8.0%	259	9fe

Source: 2013/14-2019/20 January PLASC counts. 2020/21 – 2026/27 GLA 2020 School Roll projections

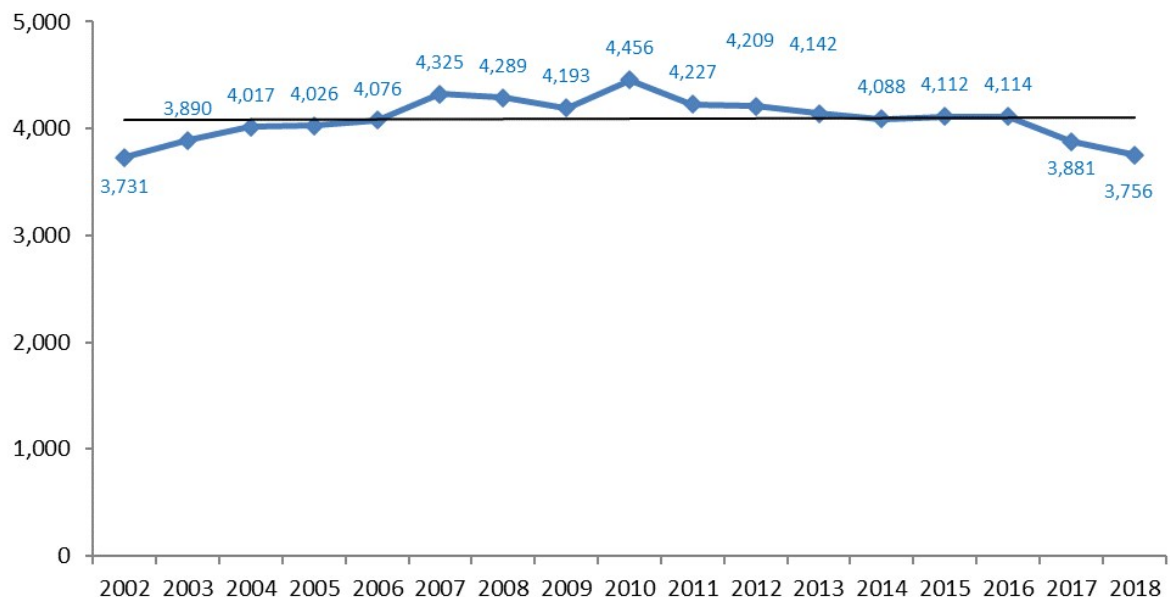
- 7.2 Looking forward reception surpluses between 2020/21 and 2026/27 are projected to be somewhat smaller than they would have been because of recent reductions made in the supply of Reception places. These include the amalgamation of Tiverton and Stamford Hill primary schools (Planning area 3) and reductions in PAN at St Peter in Chains (Planning area 2).
- 7.3 Nevertheless, the data in Figure 3 (above) still suggests a growing surplus over the following years due to consistently lower demand for Reception places. This means that we will continue to work collaboratively with all our schools to ensure temporary or permanent reductions in PAN are put in place where they are deemed sensible to avoid overly high surplus places and the resultant financial pressure this creates.

8. Recent data on birth rates

- 8.1 Figure 4 below show historic births data for Haringey between 2002 and 2018. It shows that the number of annual live births saw an overall increase between 2002 and 2010 from 3,731 (2002) to 4,456 (2010). Since 2010 there has been a large fall in births to 3,756 (2018).
- 8.2 The fall in birth rates since the high point of 2010 is an obvious factor in informing school place planning at primary and secondary level.

Figure 4 – Births in Haringey, 2002 to 2018

Source: ONS Birth data (2002-2018)



9. Recent and future initiatives that have or will reduce primary school PAN

9.1 The Council has:

- provided a robust evidence base to the DfE and to Trinity Primary Academy against permanent expansion from 2fe to 3fe. This has been successful, and Trinity will remain at 2FE.
- Continues to work alongside a number of schools in support of capping¹ in-year admissions, provided there is sufficient capacity at alternative schools to meet local demand. A number of schools have already capped some of their year groups or are operating mixed age teaching groups.
- Analysis of historic and current roll returns and preference data suggests that an amalgamation between two 1fe faith primary schools could help to enshrine sustainability for both schools and keep the local offer broad. For instance, a potential amalgamation between St Peter in Chains and St Gildas has been proposed by the Executive Headteacher. The responsibility to progress this rests with governors of both schools and the Westminster Diocese.
- There is likely to be further engagement with schools for which the local authority is not the admission authority about a temporary reduction in PAN despite inevitable resistance.
- Will continue to meet with both Dioceses to press for reductions where it is felt to be in the best interests of the school concerned.

¹ Capping means that the capacity for certain year groups is set lower than that indicated by the PAN for the relevant year of entry. In the example of a PAN of 90, this might involve an admission authority saying that it had no more capacity than 80 in that particular year group.

<p>Agenda Item</p>



<p>Report Status</p> <p>For information/ note <input checked="" type="checkbox"/></p> <p>For consultation & views <input checked="" type="checkbox"/></p> <p>For decision <input type="checkbox"/></p>

Report to Haringey Schools Forum – 15th October 2020

<p>Report Title: Schools Forum Work Plan 2020-21 Academic Year.</p>
<p>Author:</p> <p>Muhammad Ali, School Finance Business Partner Telephone: 020 8489 4491 Email: Muhammad.Ali@haringey.gov.uk</p>
<p>Purpose: To inform the Forum of the updated work plan for the 2020-21 academic year and provide members with an opportunity to add additional items.</p>
<p>Recommendations:</p> <p>That the updated work plan for the 2020-21 academic year is noted.</p>

1. Schools Forum

- 1.1. It is good practice for Schools Forum to maintain a work plan so that members ensure that key issues are considered in a robust and timely way.
- 1.2. Members of the Forum are asked to consider whether there are any additional issues that should be added to the work plan for the next Academic Year.
- 1.3. This work plan will be included on the agenda for each future meeting so that members are able to review progress and make appropriate updates.

Haringey Schools Forum - Work Plan Academic Year 2020-21

October 2020.

- Dedicated School Budget Strategy 2020-21 – Current year projection.
- Consultations on funding arrangements 2021-22 including COVID19 relief funds (Business Rate Relief Balance).
- High Needs Block.
- Early Year Block
- Deficit Recovery Plan (DSG)
- Updates from Working Parties.

December 2020.

- Dedicated School Budget Strategy 2020-21.
- Early Year Block.
- Central Block.
- High Needs Block.
- Restructure Scrutiny Panel
- Arrangements for the use of pupil referral units and the education of children otherwise than at school.
- Early Help and Preventative services update.
- Deficit Recovery Plan (DSG)
- Update from Working parties.

January 2021.

- Update on Dedicated Schools Budget Strategy 2020-21.
- Funding Formula 2021-22.
- Growth Fund.
- High Needs Block.
- Early Help and Preventative services update.
- Deficit Recovery Plan (DSG)
- Updates from working parties.

February 2021.

- Update on Dedicated Schools Budget 2020-21.
- Deficit Recovery Plan (DSG)
- Update on DSG CSSB 2020-21 & 2021-22
- The Schools Internal Audit Programme.
- Deficit Recovery Plan (DSG)
- Update from working parties.

July 2021.

- Dedicated Schools Budget Outturn 2020-21
- Update on Schools in Financial Difficulty Support Programme
- Outcome of Internal Audit Programme 2020-21
- Forum Membership
- Update from working parties
- Deficit Recovery Plan (DSG)